# J-Noetherian Bezout domain which are not of stable range 1. A Bezout ring of stable range 2 which has square stable range 1

#### Oleh Romaniv

Ivan Franko National University of Lviv oleh.romaniv@lnu.edu.ua

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### Plan

- A J-Noetherian Bezout domain which are not of stable range 1.
  - Keywords: J-Noetherian ring; Bezout ring; adequate ring; elementary divisor ring; stable range; almost stable range; neat range.
- B A Bezout ring of stable range 2 which has square stable range 1.
  - Keywords: Hermitian ring; elementary divisor ring; stable range 1; stable range 2; square stable range 1; Toeplitz matrix; duo ring; quasi-duo ring.

# J-Noetherian Bezout domain which are not of stable range 1

All rings considered will be commutative and have identity.

The notion of a stable range was useful in modern research on theory of diagonalization of matrices.

#### Definition

A ring R is a **ring of stable range 1** if for any  $a, b \in R$  such that aR + bR = R we have (a + bt)R = R for some  $t \in R$ .

Studying an elementary divisor ring W. McGovern has introduced the concept of **ring of almost stable range 1** as a ring whose proper homomorphic images all have stable range 1 [McGovern,2007].

By [McGovern,2007] a ring of stable range 1 is a ring of almost stable range 1. At the same time not every element of stable range 1 is an element of almost stable range 1.



W. McGovern, Bezout rings with almost stable range 1 are elementary divisor rings, *J. Pure Appl. Algebra* **212** (2007) 340–348.

#### Definition

An element a is an **element of stable range 1** if for any  $b \in R$  such that aR + bR = R we have a + bt is an invertible element for some  $t \in R$ .

#### Definition

An element  $a \in R$  is an **element of almost stable range 1** if R/aR is a ring of stable range 1.

If  $R = \mathbb{Z} \times \mathbb{Z}$ , then e = (1,0) is element of stable range 1, but  $R/eR \cong \mathbb{Z}$  is not a ring of stable range 1.

The problem of finding the element of almost stable range 1 in rings which are not rings of stable range 1 is required, in accordance with the above and considerations in [Zabavsky, 2017].

On the basis of theory comaximal factorization we prove that in any *J*-Noetherian Bezout ring which are not of stable range 1 there exist a nonunit element of almost stable range 1.



B. V. Zabavsky, Conditions for stable range of an elementary divisor rings, *Comm. Alg.* **45**(9) (2017) 4062–4066.

By a **Bezout ring** we mean a ring in which all finitely generated ideals are principal.

By a J-ideal of R we mean an intersection of maximal ideals of R.

A ring R is J-Noetherian provided R has maximum condition of J-ideals.

Unique factorization domains are, of course, integral domains in which every nonzero nonunit element has a unique factorization (up to order and associates) into irreducible elements, or atoms. Now UFDs can also be characterized by the property that every nonzero nonunit is a product of principal primes or equivalently that every nonzero nonunit has the form  $p_1^{\alpha_1} \cdot \ldots \cdot p_n^{\alpha_n}$ , where  $p_1$ , ...,  $p_n$  are non-associate principal primes and each  $\alpha_i > 1$ . Each of the  $p_i^{\alpha_i}$ , in addition to being a power of a prime, has other properties, each of which is subject to generalization. For example, each  $p_i^{\alpha_i}$  is primary and the  $p_i^{\alpha_i}$  are pairwise comaximal. There exist various generalizations of a (unique) factorization into prime powers in integral domains [Brewer, Heinzer, 2002].



J. W. Brewer and W. J. Heinzer, On decomposing ideals into products of comaximal ideals, *Comm. Algebra* **30**(12) (2002) 5999–6010.

We consider the comaximal factorization introduced by McAdam and Swan [McAdam, Swan, 2004].

They defined a nonzero nonunit element d of an integral domain R to be **pseudo-irreducible** (**pseudo-prime**) if d = ab ( $abR \supset dR$ ) for comaximal a and b implies that a or b is a unit ( $aR \supset dR$  or  $bR \supset dR$ ).

A factorization  $d = d_i \dots d_n$  is a **complete comaximal** factorization if each  $d_i$  is a nonzero nonunit pseudo-irreducible and the  $d_i$ 's are pairwise comaximal. The integral domain is a **comaximal factorization domain** (CFD) if each nonzero nonunit has a complete comaximal factorization.



S. McAdam and R. Swan, Unique comaximal factorization, *J. Algebra* **276** (2004) 180–192.

Let us start with the following Henriksen example [Henriksen, 1955]

$$R = \{z_0 + a_1 x + a_2 x^2 + a_3 x^3 + \dots \mid z_0 \in \mathbb{Z}, \ a_i \in \mathbb{Q}, \ i = 1, 2, \dots \}.$$

This domain is a two-dimensional Bezout domain having a unique prime ideal J(R) (Jacobson radical) of height one and having infinitely many maximal ideals corresponding to the maximal ideals of  $\mathbb{Z}$ .

The elements of J(R) are contained in infinitely many maximal ideals of R while the elements of  $R \setminus J(R)$  are contained in only finitely many prime ideals.



M. Henriksen, Some remarks about elementary divisor rings, *Michigan Math. J.* **3** (1955/56) 159–163.

Henriksen's Example is an example of a ring in which every nonzero nonunit element has only finitely many prime ideals minimal over it. By [McAdam, Swan, 2004], Lemma 1.1.(i), in Henriksen's example every nonzero nonunit element has a complete comaximal factorization. The element of J(R) has a complete comaximal factorization  $cx^n$ , where  $c \in \mathbb{Q}$ , n > 1 ( $cx^n$  is a pseudo-irreducible element). By [McAdam, Swan, 2004], Lemma 3.2, any nonzero element of J(R) is pseudo-irreducible. The elements of  $R \setminus J(R)$  are contained in only finitely many prime ideals and have a complete comaximal factorization corresponding their factorization in  $\mathbb{Z}$ . We will notice J(R) is not generated by x, since  $\frac{1}{2}x \in J(R)$  and  $\frac{1}{2}x \notin xR$ .



S. McAdam and R. Swan, Unique comaximal factorization, *J. Algebra* **276** (2004) 180–192.

It is shown in [McAdam, Swan, 2004] that an integral domain R is a complete comaximal factorization if either 1) each nonzero nonunit of R has only finitely many minimal primes or 2) each nonzero nonunit of R is contained in only finitely many maximal ideals.



S. McAdam and R. Swan, Unique comaximal factorization, *J. Algebra* **276** (2004) 180–192.

For a commutative J-Noetherian Bezout domain R this condition is equivalent to a condition that every nonzero nonunit element of R has only finitely many prime ideals minimal over it [Estes, Ohm, 1967].

#### Lemma 2.1

Let R be a Bezout domain and a be a nonzero nonunit element of R. Then a is pseudo-irreducible if and only if  $\overline{R} = R/aR$  is connected (i.e. its only idempotents are zero and 1).



D. Estes and J. Ohm, Stable range in commutative rings, *J. Algebra* **7** (1967) 343–362.

We will notice that in a local ring, every nonzero nonunit element is pseudo-irreducible.

If a is a element of domain R where  $|minspec \ a| = 1$ , then a is also pseudo-irreducible (denote by  $minspec \ a$  the set of prime ideals minimal over a).

In Henriksen's example

$$R = \{z_0 + a_1x + a_2x^2 + a_3x^3 + \dots \mid z_0 \in \mathbb{Z}, \ a_i \in \mathbb{Q}, \ i = 1, 2, \dots\},\$$

*x* is pseudo-irreducible.

#### Definition

A commutative ring R is called an **elementary divisor ring** [Kaplansky,1949] if for an arbitrary matrix A of order  $n \times m$  over R there exist invertible matrices  $P \in GL_n(R)$  and  $Q \in GL_m(R)$  such that

- (1) PAQ = D is diagonal matrix,  $D = (d_{ii})$ ;
- (2)  $d_{i+1,i+1}R \subset d_{ii}R$ .



I. Kaplansky, Elementary divisors and modules, *Trans. Amer. Math. Soc.* **66** (1949) 464–491.

By [Shores, Wiegand, 1974], we have the following result.

#### Theorem 2.1

Every *J*-Noetherian Bezout ring is an elementary divisor ring.



T. Shores and R. Wiegand, Some criteria for Hermite rings and elementary divisor rings, *Can J. Math.* **XXVI**(6) (1974) 1380–1383.

By [Zabavsky, Bokhonko, 2017], for a Bezout domain we have the following result.

#### Theorem 2.2

Let R be a Bezout domain. The following two condition are equivalent:

- (1) R is an elementary divisor ring;
- (2) for any elements  $x, y, z, t \in R$  such xR + yR = R and zR + tR = R there exists an element  $\lambda \in R$  such that  $x + \lambda y = r \cdot s$ , where rR + zR = R, sR + tR = R and rR + sR = R.
- B. V. Zabavsky and V. V. Bokhonko, A criterion of elementary divisor
  - domain for distributive domains, Algebra and Discrete Math. 23(1) (2017) 1-6.

#### Definition

Let R be a Bezout domain. An element  $a \in R$  is called a **neat element** if for every elements  $b, c \in R$  such that bR + cR = R there exist  $r, s \in R$  such that a = rs where rR + bR = R, sR + cR = R and rR + sR = R.

#### Definition

A Bezout domain is said to be of **neat range 1** if for any  $c, b \in R$  such that cR + bR = R there exists  $t \in R$  such that a + bt is a neat element.

According to Theorem 2.2 we will obtain the following result.

#### Theorem 2.3

A commutative Bezout domain R is an elementary divisor domain if and only if R is a ring of neat range 1.

#### Theorem 2.4

A nonunit divisor of a neat element of a commutative Bezout domain is a neat element.

#### Theorem 2.5

Let R be a J-Noetherian Bezout domain which is not a ring of stable range 1. Then in R there exists an element  $a \in R$  such that R/aR is a local ring.

By [Zabavsky, 2014], any adequate element of a commutative Bezout ring is a neat element.

#### Definition

An element a of a domain R is said to be **adequate**, if for every element  $b \in R$  there exist elements  $r, s \in R$  such that:

- (1) a = rs;
- (2) rR + bR = R;
- (3)  $\hat{s}R + bR \neq R$  for any  $\hat{s} \in R$  such that  $sR \subset \hat{s}R \neq R$ .

B. V. Zabavsky, Diagonal reduction of matrices over finite stable range rings, *Mat. Stud.* **41** (2014) 101–108.

#### Definition

A domain R is called **adequate** if every nonzero element of R is adequate [Larsen, Levis, Shores, 1974].

The most trivial examples of adequate elements are units, atoms in a ring, and also square-free elements.



M. Larsen, W. Levis and T. Shores, Elementary divisor rings and finitely presented modules, *Trans. Amer. Math. Soc.* **187** (1974) 231–248.

Henriksen observed that in an adequate domain every nonzero prime ideal is contained in an unique maximal ideal [Henriksen, 1955].

#### Theorem 2.6

Let R be a commutative Bezout element and a is non-zero nonunit element of R. If R/aR is local ring, then a is an adequate element.



M. Henriksen, Some remarks about elementary divisor rings, *Michigan Math. J.* **3** (1955/56) 159–163.

#### Theorem 2.7

Let R be a J-Noetherian Bezout domain which is not a ring of stable range 1. Then in R there exists a nonunit adequate element.

#### Theorem 2.8

Let R be a Bezout domain in which every nonzero nonunit element has only finitely many prime ideals minimal over it. Then the factor ring R/aR is the finite direct sum of valuation rings.

A minor modification of the proof of Theorem 2.8 gives us the following result.

#### Theorem 2.9

Let R be a commutative Bezout domain in which any nonzero prime ideal is contained in a finite set of maximal ideals. Then for any nonzero element  $a \in R$  such that the set minspec(aR) is finite, the factor ring  $\overline{R} = R/aR$  is a finite direct sum of semilocal rings.

#### Definition

A ring R is said to be **everywhere adequate** if any element of R is adequate.

Note that, as shown above, in the case of a commutative ring, which is a finite direct sum of valuation rings, any element of the ring (in particular zero) is adequate, i.e. this ring is everywhere adequate.

We obtain the result from the previous theorems.

#### Theorem 2.10

Let R be a Bezout domain in which every nonzero nonunit element has only finitely many prime ideals minimal over it. Then the factor ring R/aR is everywhere adequate if and only if R is a finite direct sum of valuation rings.

Recall that a commutative ring in which every nonzero element is an element of almost stable range  ${\bf 1}$  is called a **ring of almost stable range {\bf 1}**.

The first example of a ring of almost stable range 1 is a ring of stable range 1. Also, every commutative principal ideal ring which is not a ring of stable range 1 (for example, the ring of integers) is a ring of almost stable range 1 which is not a ring of stable range 1.

We note that a semilocal ring is an example of a ring of stable range 1. Moreover, a direct sum of rings of stable range 1 is a ring of stable range 1.

#### Theorem 2.11

Let R be a Bezout domain in which every nonzero nonunit element has only finitely many prime ideals minimal over it and any nonzero prime ideal spec(aR) is contained in a finite set of maximal ideals. Then a is an element of almost stable range 1.

## Open Question

Is it true that every commutative Bezout domain in which any non-zero prime ideal is contained in a finite set of maximal ideals is an elementary divisor ring? A Bezout ring of stable range 2 which has square stable range 1

The notion of a stable range of a ring was introduced by H. Bass, and became especially popular because of its various applications to the problem of cancellation and substitution of modules. Let us say that a module A satisfies the power-cancellation property if for all modules B and C,  $A \oplus B \cong A \oplus C$  implies that  $B^n \cong C^n$  for some positive integer n (here  $B^n$  denotes the direct sum of n copies of B).

Let us say that a right R-module A has the **power-substitution property** if given any right R-module decomposition  $M = A_1 \oplus B_1 = A_2 \oplus B_2$  which each  $A_i \cong A$ , there exist a positive integer n and a submodule  $C \subseteq M^n$  such that  $M^n = C \oplus B_1^n = C \oplus B_2^n$ .

K. Goodearl [Goodearl, 1976] pointed out that a commutative ring R has the power-substitution property if and only if R is of (right) power stable range 1, i.e. if aR + bR = R than  $(a^n + bx)R = R$  for some  $x \in R$  and some integer  $n \ge 2$  depending on  $a, b \in R$ .



K. R. Goodearl, Power-cancellation of groups and modules, *Pacific J. Math.* **64** (1976) 487–411.

Recall that a ring R is said to have 1 in **the stable range** provided that whenever ax + b = 1 in R, there exists  $y \in R$  such that a + by is a unit in R.

The following Warfield's theorem shows that 1 in the stable range is equivalent to a substitution property.

#### Theorem 3.1

Let A be a right R-module, and set  $E = \operatorname{End}_R(A)$ . Then E has 1 in the stable range if and only if for any right R-module decomposition  $M = A_1 \oplus B_1 = A_2 \oplus B_2$  with each  $A_i \cong A$ , there exists a submodule  $C \subseteq M$  such that  $M = C \oplus B_1 = C \oplus B_2$ .



R. B. Warfield, Jr., *Notes on cancellation, stable range, and related topics*, Univ. of Washington, (August, 1975).

K. Goodearl pointed out to us the following result.

# Proposition 3.1

Let R be a commutative ring which has 2 in the stable range. If R satisfies right power-substitution, then so does  $M_n(R)$ , for all n. [Goodearl, 1976]



K. R. Goodearl, Power-cancellation of groups and modules, *Pacific J. Math.* **64** (1976) 487–411.

A ring R is said to have 2 in the stable range if for any  $a_1, \ldots, a_r \in R$  where  $r \geq 3$  such that  $a_1R + \cdots + a_rR = R$ , there exist elements  $b_1, \ldots, b_{r-1} \in R$  such that

$$(a_1 + a_r b_1)R + (a_2 + a_r b_2)R + \cdots + (a_{r-1} + a_r b_{r-1})R = R.$$

Our goal is to study certain algebraic versions of the notion of stable range 1. We study a Bezout ring which has 2 in the stable range and which is a ring square stable range 1.

A ring R is said to have (right) **square stable range 1** (written ssr(R) = 1) if aR + bR = R for any  $a, b \in R$  implies that  $a^2 + bx$  is an invertible element of R for some  $x \in R$ .

Considering the problem of factorizing the matrix  $\begin{pmatrix} a & 0 \\ b & 0 \end{pmatrix}$  into a product of two Toeplitz matrices. D. Khurana, T.Y. Lam and Zhou Wang were led to ask go units of the form  $a^2 + bx$  given that aR + bR = R.

Obviously, a commutative ring which has 1 in the stable range is a ring which has (right) square stable range 1, but not vice versa in general. Examples of rings which have (right) square stable range 1 are rings of continuous real-valued functions on topological spaces and real holomorphy rings in formally real fields [Khurana, Lam, Wang, 2011].



D. Khurana, T. Y. Lam and Zh. Wang, Rings of square stable range one, J. Algebra 338 (2011) 122-143.

# Proposition 3.2

For any ring R with ssr(R) = 1, we have that R is right quasi-duo (i.e. R is a ring in which every maximal right ideal is an ideal). [Khurana, Lam, Wang, 2011]



D. Khurana, T. Y. Lam and Zh. Wang, Rings of square stable range one, *J. Algebra* **338** (2011) 122–143.

We say that matrices A and B over a ring R are **equivalent** if there exist invertible matrices P and Q of appropriate sizes such that B = PAQ. If for a matrix A there exists a diagonal matrix  $D = diag(\varepsilon_1, \varepsilon_2, \ldots, \varepsilon_r, 0, \ldots, 0)$  such that A and D are equivalent and  $R\varepsilon_{i+1}R \subseteq \varepsilon_iR \cap R\varepsilon_i$  for every i then we say that the matrix A has a **canonical diagonal reduction**. A ring R is called an **elementary divisor ring** if every matrix over R has a canonical diagonal reduction.

If every  $(1 \times 2)$ -matrix  $((2 \times 1)$ -matrix) over a ring R has a canonical diagonal reduction then R is called a **right (left)** Hermitian ring. A ring which is both right and left Hermitian is called an Hermitian ring. Obviously, a commutative right (left) Hermitian ring is an Hermitian ring. We note that a right Hermitian ring is a ring in which every finitely generated right ideal is principal.

#### Theorem 3.2

Let R be a right quasi-duo elementary divisor ring. Then for any  $a \in R$  there exists an element  $b \in R$  such that RaR = bR = Rb. If in addition all zero-divisors of R lie in the Jacobson radical, then R is a duo ring. [Zabavsky, Komarnytskii, 1990]



B. V. Zabavsky and M. Ya. Komarnytskii, Distributive elementary divisor domains, *Ukr. Math. J.* **42** (1990) 890–892.

Recall that a **right (left) duo ring** is a ring in which every right (left) ideal is two-sided. A **duo ring** is a ring which is both left and right duo ring.

We have proved the next result.

#### Theorem 3.3

Let R be an elementary divisor ring which has (right) square stable range 1 and which all zero-divisors of R lie in Jacobson radical of R, then R is a duo ring.

### Proposition 3.3

Let R be a Hermitian duo ring. For every  $a, b, c \in R$  such that aR + bR + cR = R the following conditions are equivalent:

- 1) there exist elements  $p, q \in R$  such that paR + (pb + qc)R = R;
- 2) there exist elements  $\lambda, u, v \in R$  such that  $b + \lambda c = vu$ , where uR + aR = R and vR + cR = R.

#### Remark 3.1

In Proposition 3.3 we can choose the elements u and v such that uR + vR = R.

# Proposition 3.4

Let R be an Hermitian duo ring. Then the following conditions are equivalent:

- 1) R is an elementary divisor duo ring;
- 2) for every  $x, y, z, t \in R$  such that xR + yR = R and zR + tR = R there exists an element  $\lambda \in R$  such that  $x + \lambda y = vu$ , where vR + zR = R and uR + tR = R.

#### Definition

Let R be a duo ring. We say that an element  $a \in R \setminus \{0\}$  is **neat** if for any elements  $b, c \in R$  such that bR + cR = R there exist elements  $r, s \in R$  such that a = rs, where rR + bR = R, sR + cR = R, rR + sR = R.

#### Definition

We say that a duo ring R has **neat range 1** if for every  $a, b \in R$  such that aR + bR = R there exists an element  $t \in R$  such that a + bt is a neat element.

According to Propositions 3.3, 3.4 and Remark 3.1 we have the following result.

#### Theorem 3.4

A Hermitian duo ring R is an elementary divisor ring if and only if R has neat range 1.

The term "neat range 1" substantiates the following theorem.

#### Theorem 3.5

Let R be a Hermitian duo ring. If c is a neat element of R then R/cR is a clean ring.

Recall that a ring R is a **clean ring** if for any  $a \in R$  we have a = u + e, where u is invertible element and  $e^2 = e$ .

Taking into account the Theorem 3.3 and Theorem 3.4 we have the following result.

#### Theorem 3.5

A Hermitian ring R which has (right) square stable range 1 is an elementary divisor ring if and only if R is a duo ring of neat range 1.

Let R be a commutative Bezout ring. The matrix A of order 2 over R is said to be a **Toeplitz matrix** if it is of the form

$$\begin{pmatrix} a & b \\ c & a \end{pmatrix}$$

where  $a, b, c \in R$ .

#### Definition

A commutative Hermitian ring R is called a **Toeplitz ring** if for any  $a, b \in R$  there exist an invertible Toeplitz matrix T such that (a,b)T = (d,0) for some element  $d \in R$ .

#### Theorem 3.7

A commutative Hermitian ring R is a Toeplitz ring if and only if R is a ring of (right) square range 1.

#### Theorem 3.8

Let R be a commutative ring of square stable range 1. Then for any row (a,b), where aR+bR=R, there exists an invertible Toeplitz matrix

$$T = \begin{pmatrix} a & b \\ x & a \end{pmatrix},$$

where  $x \in R$ .

Recall that  $GE_n(R)$  denotes a group of  $n \times n$  elementary matrices over ring R. The following theorem demonstrated that it is sufficient to consider only the case of matrices of order 2 in Theorem 3.7.

#### Theorem 3.9

Let R be a commutative elementary divisor ring. Then for any  $n \times m$  matrix A (n > 2, m > 2) one can find matrices  $P \in GE_n(R)$  and  $Q \in GE_m(R)$  such that

$$PAQ = egin{pmatrix} e_1 & 0 & \dots & 0 & 0 \ 0 & e_2 & \dots & 0 & 0 \ \dots & \dots & \dots & \dots & \dots \ 0 & 0 & \dots & e_s & 0 \ 0 & 0 & \dots & 0 & A_0 \end{pmatrix}$$

where  $e_i$  is a divisor of  $e_{i+1}$ ,  $1 \le i \le s-1$ , and  $A_0$  is a  $2 \times k$  or  $k \times 2$  matrix for some  $k \in \mathbb{N}$ . [Zabavsky, 2012]



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#### Theorem 3.10

Let R be a commutative elementary divisor ring of square stable range 1. Then for any  $2\times 2$  matrix A one can find invertible Toeplitz matrices P and Q such that

$$PAQ = \begin{pmatrix} e_1 & 0 \\ 0 & e_2 \end{pmatrix},$$

where  $e_i$  is a divisor of  $e_2$ .

# Open Question

Is it true that every commutative Bezout domain of stable range 2 which has (right) square stable range 1 is an elementary divisor ring?

# THANK YOU